**PLSC 45702/** **SOCI 40195.**

**Formal and Informal Governance in the Economy and Politics**

Gary Herrigel

g-herrigel@uchicago.edu

This course will examine the possibilities and limits of formal systems and abstractions for the governance of production, markets, corporations and states. Special attention will be given to recent trends in both theory and practice to move beyond the traditional association of formality with rigidity and information reduction and informality with flexibility, innovation and learning. To what extent can (and do) new formal systems induce learning and innovation in the contemporary world? What are the normative implications of such systems? How do they relate to contemporary worries about neoliberalism, and the increasing marketization, financialization, modularization and digitization of social life? Can such systems be democratic in any sense? The strategy in the course is to use the examination of micro questions about organization and regulation to get at larger questions about the changing character of contemporary capitalism.

Requirements: Active participation in class discussion. 20-25 page final paper on topic of student’s design in consultation with instructor.

Books:

Michel Foucault, *The Birth of Biopolitics* (Picador 2008)

Philip Selznick, *Leadership in Administration. A Sociological Interpretation* (1957) 1984

Karl Weick and K. M. Sutcliffe. 2011. *Managing the Unexpected* (John Wiley 2011)

Donald MacKenzie, *Material Markets. How Economic Agents are Constructed* (Oxford 2009)

Steven Spear, *Chasing the Rabbit* (McGraw Hill 2009)

All other readings will be available in pdf format on the course chalk site.

Week 1:

Foucault, The Birth of Biopolitics

Week 2:

Bendix, Work and Authority in Industry, Chapter 4 & 5

Burawoy, Manufacturing Consent, part 3: The Production of Consent

Sabel, Work and Politics, Ch 2

Stinchcombe, Information and Organizations, Ch 2

Week 3

Philip Selznick, *Leadership in Administration. A Sociological Interpretation* (1957)

Chester I Barnard, “Functions and Pathology of Status Systems in Formal Organizations” in William F Whyte, ed, *Industry and Society*, (New York: McGraw Hill, 1946) pp 46-84

Week 4:

Arthur Stinchcombe, Bureaucratic and craft administration of production: a comparative study,' in *Administrative Science Quarterly,* vol. 4, September 1959

Rosabeth Moss Kanter, “Some Effects of Proportions on Group Life,” American Journal of Sociology 82: 965-990, 1977.

Brian Uzzi and J. Spiro, “Collaboration and Creativity: The Small World Problem” in *American Journal of Sociology*, 111(2) 447-504

Melville Dalton, “Unofficial Union-management Relations,” *American Sociological Review* 15: 611-619, 1950.

Stuart Macaulay, “Non-contractual Relations in Business”, *American Sociological Review* 22:155-67, 1963.

Karlene Roberts, Suzanne Stout, Jennifer Halpern, “Decision Dynamics in Two High Reliability Military Organizations,” *Management Science* 40: 614-624, 1994. JSTOR.

Week 5:

Karl Weick and K. M. Sutcliffe. 2011. *Managing the Unexpected*. John Wiley 2011

Leveson, Nancy, et al. "Moving beyond normal accidents and high reliability organizations: a systems approach to safety in complex systems." *Organization Studies* 30.2-3 (2009): 227-249

Week 6

Donald MacKenzie, *Material Markets. How Economic Agents are Constructed* (Oxford 2009)

Week 7

Steven Spear, *Chasing the Rabbit* (McGraw Hill) 2009

Week 8

Gunningham, Neil, Robert A. Kagan, and Dorothy Thornton. "Social license and environmental protection: why businesses go beyond compliance." *Law & Social Inquiry* 29.2 (2004): 307-341.

Gilad, S. 2010. “It Runs in the Family: Meta-Regulation and Its Siblings.” *Regulation & Governance* 4(4):485–506.

Gunningham, Neil, “Enforcement and Compliance Strategies,” in Baldwin, Robert, Martin Cave, and Martin Lodge, eds. *The Oxford Handbook of Regulation*. Oxford University Press, 2010,

Coglianese, Cary and Evan Mendelson, “Meta-Regulation and Self-Regulation,” in ibid.

**Recommended:**

Coglianese, C., and D. Lazer. 2007. “Management-Based Regulation: Prescribing Private Management to Achieve Public Goals.” *Law Society Review* 1–41.

Week 9

Herrigel, "The Embrace of Experimentalism by Manufacturing Multinationals"

Sabel, Charles F., and William H. Simon. "Minimalism and experimentalism in the administrative state." Geo. LJ 100 (2011): 53,

Sabel, Charles F., and Jonathan Zeitlin. "Learning from difference: the new architecture of experimentalist governance in the EU." *European Law Journal* 14.3 (2008): 271-327

Sabel, Herrigel, Kristensen, Regulatory Breakdown or Renewal? MS 2015

Mills, R. W., and D. R. Reiss. 2013. “Secondary Learning and the Unintended Benefits of Collaborative Mechanisms: the Federal Aviation Administration's Voluntary Disclosure Programs.” *Regulation & Governance* 8(4):437–54.